

## METHOD FOR STERILIZATION USING ETHYLENE OXIDE

### 1 TECHNICAL FIELD

2 The technical field includes sterilization, and more specifically industrial sterilization  
3 using ethylene oxide.

### 4 BACKGROUND

5 Gas sterilization is an important process for the manufacture of many industrial  
6 products. This is especially true for medical products to be used in a sterile environment.

7 Gas sterilization is a process for sterilizing items by exposing them to sterilizing  
8 gases, e.g. ethylene oxide (EtO or EO), for a period of time. The gas is toxic to biological  
9 organisms. To be useful, the process usually involves ensuring that no sterilizing gas residue  
10 is left on the article. Conventional gas sterilization is often performed in multiple chambers  
11 and can take many days to complete. In such a process, the product is conditioned in one  
12 chamber, sterilized (exposed to a sterilent gas) in a different chamber, and finally degassed  
13 (removal of the sterilent gas) in yet another chamber. Current single chamber sterilization  
14 processes are prolonged and sometimes not as effective as required.

15 It would be advantageous to simplify the gas sterilization process by reducing the  
16 time required for performing a single chamber sterilization while maintaining and enhancing  
17 the effectiveness of the process.

### 18 SUMMARY

19 A method for sterilizing industrial products with gas is disclosed. The method  
20 includes the step of conditioning an industrial product to be sterilized by placing the article or  
21 product to be sterilized in a chamber, evacuating the chamber, pulsing steam and/or heated  
22 inert gas into the chamber, and re-evacuating the chamber. The preferred inert gas is  
23 Nitrogen (N<sub>2</sub>) heated to a temperature of about 130 to about 170°F. The method further  
24 includes the step of injecting a sterilent gas into the chamber. The preferred sterilent gas is  
25 ethylene oxide. Next, overpressure of inert gas is introduced into the chamber and  
26 maintained while the sterilization reaction occurs, preferably at an incremental pressure of  
27 about 5 to about 15 inches of mercury. This period of holding is sometimes referred to as the  
28 dwell time. Finally, the product is degassed.

1       The step of degassing the product may be accomplished by evacuating the chamber,  
2 pressurizing the chamber with about 3 to about 50 inches of mercury with an inert gas, and  
3 repeating until the product is degassed of the sterilent gas. Alternatively the step of  
4 degassing the product may be accomplished by evacuating the chamber, preferably down to a  
5 pressure in the range of 3 to 7 inches of mercury and pulsing the chamber with heated inert  
6 gas, preferably about 5 to about 9 inches of mercury worth of gas pressure. This step may be  
7 further accomplished by injecting the chamber with warm air. Warm air refers to air that is  
8 typically higher than room temperature. The exact temperature is not critical and depends on  
9 the specific article being sterilized and the sterilent gas.

10       Other steps may include evacuating the chamber, preferably to a pressure of about 1  
11 to about 3 inches of mercury after the dwell time and pulsing in steam and/or heated Nitrogen  
12 into the chamber prior to degassing the product of sterilent gas. It can be advantageous to  
13 perform real-time monitoring of the concentration of ethylene oxide gas in the headspace in  
14 conjunction with the sterilization process.

15       Other aspects and advantages will become apparent from the following detailed  
16 description, taken in conjunction with the accompanying figures.  
17

## 18 **DETAILED DESCRIPTION**

19       A method for sterilizing products using ethylene oxide gas in conjunction with one or  
20 more of the following techniques is disclosed: steam pulses; steam conditioning; deep  
21 vacuum pulses with nitrogen; and, positive pressure pulses of inert gases. The entire  
22 sterilization process, which generally consists of conditioning, sterilizing, and degassing the  
23 product or article, is preferably performed in a single chamber. The sterilized product is  
24 releasable to the end user from an ethylene oxide residual standpoint at the completion of the  
25 process. The entire process takes preferably less than about 10 hours, but certain applications  
26 may require up to about 20 hours or more. The method of this invention is applicable to any  
27 product suitable for ethylene oxide sterilization. The method is especially applicable to  
28 medical device products.

29       The sterilization method of the present invention has several steps. Each step of the  
30 method has a specific purpose and yet works cooperatively with the other steps to thoroughly  
31 and speedily sterilize products. Preferably, each step is performed in the same chamber.

1    However, in an alternate embodiment the entire sterilization process is performed in a  
2    continuous flow through process in which the material to be sterilized is moved through  
3    different steps in different chambers or equipment prior to completion at the end of the  
4    production line. For the preferred application of the method of the present invention, no  
5    special chamber is required. A conventional programmable industrial sterilization chamber  
6    that is equipped with a pump may be used. The pump is preferably capable of both  
7    introducing gasses into the chamber and pulling gas from the chamber to create a vacuum.  
8    The size of the chamber is not critical and depends on the scale of the load to be sterilized.

9                 The first step in the process is referred to as the conditioning step. The purpose of the  
10   conditioning step is to raise the temperature of the product and/or introduce humidity into the  
11   chamber. Raising the temperature of the product and introducing humidity facilitates the  
12   sterilization reaction. This step may also be used to flush out air from the chamber. To begin  
13   the conditioning step, the product to be sterilized, referred to as the load, is placed in a  
14   sterilization chamber.

15                 (Throughout the specification concentration ranges and pressure ranges are provided.  
16   These ranges are exemplary only and not intended to limit the scope of the invention. Those  
17   skilled in the art will recognize that different applications have different requirements.)

18                 In the preferred embodiment the chamber is evacuated to a pressure of about 1 to 4  
19   inches of mercury. After evacuation a combination of Nitrogen and steam are added into the  
20   chamber. Nitrogen is the preferred gas but any inert gas, such as helium, would be suitable.  
21   Any reference to Nitrogen includes any inert gas unless otherwise indicated. Preferably, the  
22   inert gas is heated above room temperature. Unless otherwise noted, heated inert gas is  
23   preferred throughout this specification when used.

24                 In one aspect, first Nitrogen is injected (pushed) into the chamber then quickly  
25   removed (pulled) from the chamber, this action is commonly referred to as pulsing.  
26   Preferably, enough Nitrogen is pulsed to increase the pressure to about 2 inches of mercury  
27   and then the same amount of gas is pulled from chamber. The Nitrogen pulses may be done  
28   several times and it is preferable to do so. In one embodiment, the Nitrogen gas is heated.  
29   The preferred temperature range for the heated Nitrogen is 130 to 170°F. After the Nitrogen  
30   is pulled and the pressure is returned to near the value of the initial evacuation, i.e. a pressure  
31   of 1 to 4 inches of mercury.

1        In some embodiments, steam is pushed into the chamber after the inert gas is pulled  
2 out. Alternatively, the conditioning step can be performed without pulsing inert gas but only  
3 utilizing steam. The steam is pushed and pulled out of the chamber repetitively until the load  
4 is at the desired temperature and the humidity is at the desired level. In ethylene oxide  
5 sterilization, sterilization occurs at a faster rate at higher temperatures. In a typical  
6 application, the load may be sufficiently heated in less than 2 hours. In one embodiment,  
7 Nitrogen is injected over top of the steam. Preferably 10 to 20 inches of mercury of an inert  
8 gas, preferably Nitrogen, is added over top of the steam, which is believed to have the effect  
9 of forcing the steam towards the center of the load. In another embodiment a mixture of  
10 steam and inert gas are pulsed simultaneously. When the load is sufficiently conditioned, the  
11 steam and/or inert gas is again pulled out, preferably to a pressure near the initial evacuation,  
12 i.e. a pressure of about 1 to about 4 inches of mercury. At this point the sterilization step is  
13 initiated.

14       The sterilization step is initiated by injecting the sterilent gas into the chamber. The  
15 preferred sterilent gas is ethylene oxide. Unless otherwise indicated, any reference to  
16 ethylene gas is applicable to other sterilent gasses. Preferably, enough sterilent gas to raise  
17 the pressure about 9 inches of mercury is injected into the chamber. More or less can be  
18 injected depending on the type of sterilent gas and the product being sterilized. In a typical  
19 sterilization chamber, this would be about 400 to 550mg/L of Ethylene oxide gas, but higher  
20 or lower concentration may be used. An optional method is to inject the gas at a reduced rate  
21 than conventional processes. Rates in the range of 0.1 to 0.2 inches per minute allow the gas  
22 to more fully vaporize and gain more sensible heat, which allows for a reduced dwell time.

23       The load is held in the chamber until the product is sterilized. The amount of time the  
24 load is held, often referred to as the dwell time, varies depending on the product being  
25 sterilized. An inert gas overlay or inert gas blanket (also referred to as overpressure) is added  
26 to the headspace of the sterilization chamber during the dwell. Preferably Nitrogen gas  
27 overpressure is added immediately following the injection of ethylene oxide and the pressure  
28 is maintained for the duration of the dwell period. The amount of inert gas overpressure is  
29 preferably in the range of about 5 to about 15 inches of mercury, most preferably around 10-  
30 12 inches of mercury. Generally, the more overpressure added to the headspace of the

1 chamber, the lower the concentration of sterilent gas required and the less dwell time  
2 required to complete the sterilization.

3 It is believed that the inert gas overlay dynamically generates a greater surface-to-  
4 center pressure gradient on the load and shifts the highest concentration from the surface of  
5 the load towards the inside of the load. This has the effect of assisting the sterilent gas  
6 penetration into the center of the load and enhancing the uniformity of concentration  
7 distribution, thereby ensuring complete sterilization. It is also believed that the overpressure  
8 or overlay drives the steam or heated water vapor into the center of load thereby driving both  
9 heat and Ethylene oxide into the most difficult or densest areas of the product packaging  
10 configuration. This dynamic speeds what is normally considered a conventional conduction  
11 heat transfer. The presence of moisture is critical to the Ethylene oxide lethality mechanism  
12 for eradication of bacteria, yeasts & molds. The moisture coupled with the EtO are expedited  
13 to the niche areas where the bacterial flora reside thus allowing for quicker reaction time and  
14 therefore less dwell time needed to deliver the sterility necessary for the end product.

15 One advantage of the present invention is that the dwell time for a typical sterilization  
16 is reduced by 1/3 to 2/3 of conventional processes.

17 Typically, real-time measurements of the concentration of sterilent gas in the  
18 headspace is monitored during the dwell time, although not required. The preferred method  
19 of measuring and monitoring the concentration in the headspace is disclosed in U.S Patent  
20 Application No. 10/361508, which is hereby incorporated herein by reference.  
21 Measurements of the headspace concentration of ethylene oxide taken while performing the  
22 present invention show the concentration drop from 450mg/L to 150mg/L in a matter of  
23 minutes.

24 At the completion of the dwell period, the chamber is evacuated down to a pressure of  
25 1 to 3 inches of mercury. An optional method is to evacuate the chamber at a reduced rate  
26 from conventional processes. Rates in the range of 0.1 to 0.5 inches per minute can enhance  
27 the residual kill. The preferred reduced evacuation rate is 0.33 inches per minute. Optionally,  
28 moisture, in the form of steam can also be injected in pulses into the chamber to aid in  
29 completing the sterilization reaction. Alternatively, heated Nitrogen may also be pulsed into  
30 the chamber, or a combination of both can be pulsed into the chamber.

1        When sterilization is complete the load is degassed. In general the degassing step is  
2    accomplished by evacuating the chamber and then re-pressurizing the chamber with inert  
3    gas. Preferably, the chamber is evacuated to a pressure of about 2 to 3 inches of mercury,  
4    and then re-pressurized with Nitrogen gas, preferably with enough gas to increase the  
5    pressure to about 3 to 55 inches of mercury. This step of evacuating and re-pressurizing the  
6    chamber can be repeated as many times as necessary to degas the product. Alternatively, the  
7    chamber can be evacuated to about 3 to 7 inches of mercury and pulsed with heated inert gas,  
8    preferably enough to raise the pressure about 5 to 9 inches of mercury. This step may also  
9    be repeated as necessary to degas the product. Lastly, the degassing step may include  
10   injecting the chamber with warm air. The product is released when the process has  
11   completed the validated cycle parameters. These parameters are identified and evaluated as a  
12   result of specific product and process experimental evidence to develop the exacting process  
13   parameters, which renders to the product the appropriate level of lethality and residual  
14   reduction.

15       Specific instructions for practicing the invention are provided in the following  
16   examples. These examples are merely illustrative and do not limit the invention in any way.  
17

18   Example 1. The following procedure is used to sterilize pallets of product using EO as the  
19   sterilent gas.  
20

21   Loading:      Place 2 product temperature probes in the pallet at the geometrical center.  
22                  Drain Vacuum pump prior to cycle start. Drain Vacuum pump during Gas  
23                  dwell. Verify that all biological indicators are present on the load prior to  
24                  placement into the processing chamber.

25   Additional:      Records Product temperature prior to loading chamber (Minimum of 74F).  
26                  Product temperature will be recorded throughout cycle processing. Monitor  
27                  gas concentration mg/l during Gas dwell. (Minimum of 150 mg/l). After  
28                  achieving pressure set point approx. 10 minutes into Gas Dwell. Load  
29                  temperature at the end of Humidity Dwell 103F or greater. Load temperature  
30                  throughout Gas Dwell 105F or greater. Load temperature during After  
31                  Vacuum and Gas Wash A 107F or greater. Load temperature during Gas  
32                  Wash B&C 98F or greater. Humidity at the end of Humidity Dwell 60% or  
33                  greater. Humidity during Gas Dwell 37% or greater.

34                  Set Point          Minimum          Maximum

1	Load Temperature	Temperature:	74F	74F	140F
2	Process Temperature		130F	120F	140F
3	Initial Vacuum	Evacuate To:	2.0”HgA	2.5”HgA	1.5”HgA
4	Approx. Rate: 1.0”/Min	Time:	40 Min	25 Min	90 Min
5	Humidification		1.0”Hg-Rise	0.5”Hg-Rise	1.5”Hg-Rise
6	Approx. Rate: NA	Time:	NA	NA	NA
7	Steam Conditioning	Humidity To:	3.0”HgA	2.5”HgA	3.5”HgA
8		Evacuate To:	2.5”HgA	3.0”HgA	2.0”HgA
9		Dwell Time:	60 Min	50 Min	80 Min
10	Humidity Dwell	Dwell Time:	15 Min	15 Min	25Min
11		Maintain Pressure At:	3.0”HgA	2.5”HgA	3.5”HgA
12	Gas Inject	Gas By Weight:	NA	NA	NA
13	<u>Inject Type</u>	Drum Change Allowed? Yes			
14		Gas To:	11.2”HgA	10.7”HgA	11.7”HgA
15	Approx. Rate: 0.5”/Min	Time:	20 Min	8 Min	45 Min
16	Parametric Release	Gas Con.:	150 MG/L	150 MG/L	542MG/L
17	Gas Dwell) Load Temperature:	F	105F	105F	140F
18	(Gas Dwell) Load Relative Humidity:	%	37%	37%	%
19	Gas Dwell	Temperature:	130F	125F	140F
20		Time:	1 Hrs 40 Min	1 Hrs 39 Min	1 Hrs 50Min
21	Maintain Pressure With:	N2 At:	23.2”HgA	22.7”HgA	25.9”HgA
22	After Vacuum	Evacuate To:	2.5”HgA	3”HgA	2”HgA
23	Approx. Rate: .33”/Min	Time:	65 Min	58 Min	180 Min
24		Vacuum Hold Time:	NA	NA	NA
25	Gas Wash A	Inject To:	3.0”HgA	2.5”HgA	3.5”HgA
26	Approx. Rate: 1 “/Min	Time:	1 Min	0.3/9 Min	2/30 Min
27	<u>Inject Type:</u>	Evacuate To:	2.7”HgA	3.2”HgA	2.2”HgA
28	Steam				
29	Approx. Rate: NA “/Min	Time:			
30		Vacuum Hold Time:	NA	NA	NA
31	Number of Repeats: 4(5Total)				
32	Gas Wash B	Inject To:	26.0”HgA	25.5”HgA	26.5”HgA
33	Approx. Rate: 1 “/Min	Time:	24 Min	18 Min	40 Min
34	<u>Inject Type:</u>	Evacuate To:	3.0”HgA	3.5”HgA	2.5”HgA
35	N2				
36	Approx. Rate: 1 “/Min	Time:	24 Min	18/139 Min	40/240 Min
37		Vacuum Hold Time:	NA	NA	NA
38	Number of Repeats: 2(3Total)				
39	Gas Wash C	Inject To:	26.0”HgA	25.5”HgA	26.5”HgA
40	Approx. Rate: 1 “/Min	Time:	24 Min	18 Min	40 Min
41	<u>Inject Type:</u>	Evacuate To:	3.0”HgA	3.5”HgA	2.5”HgA
42	Air				
43	Approx. Rate: 1 “/Min	Time:	24 Min	18/323 Min	40/560 Min
44		Vacuum Hold Time:	NA	NA	NA

1                   Number of Repeats: 6 (7Total)  
 2  
 3     Final Release                   Release To:                   28.0”HgA                   27.5”HgA                   NA”HgA  
 4     Approx. Rate: 1.0 ”/Min   Time:                   26 Min                   20 Min                   60 Min  
 5  
 6

7     Example 2:   The following procedure is used to sterilize 30 pallets of product using EO as  
 8     the sterilent gas.  
 9

10    Preprocessing: Probes (Internal Temperature) will be placed in pallet #s 1, 8 and 15 prior to  
 11                   loading chamber. Temperature must be 75 F. If temperature is below 75 F,  
 12                   the load will be placed load in a preheating room to bring the temperature to  
 13                   specification. Plug in product thermocouples and place between cases in  
 14                   middle pallets 1 and 16. All loads will consist of 30 pallets.  
 15

16    Loading:   All pallets will be loaded in descending order with pallets 1 – 15 on the right  
 17                   side of the chamber and 16 – 30 on the left side of the chamber.  
 18

19    Other:      1) Parametric Release Criteria:  
 20                   1.1) A temperature probe will be placed in pallet #1 and pallet #16  
 21                   (geometric centers) to monitor load temperature during ETO Gas  
 22                   dwell and steam temperature  
 23                   1.2) EO Concentration must meet minimum requirement after N<sub>2</sub>  
 24                   injection.  
 25                   2) Maximum temperature during washes is 150.  
 26

			Set Point	Minimum	Maximum
28	Load Temperature	Temperature:	75F	75F	N/A
29	Process Temperature		135F	125F	145F
30	Initial Vacuum Approx. Rate: 1.0”Min	Evacuate To: Time:	2.0”HgA N/A	1.5”HgA N/A	2.5”HgA N/A
32	Nitrogen Wash Approx. Rate: N/A	Humidity To: N <sub>2</sub> Inject To: Time:	N/A 12.0”HgA N/A	N/A 11.5”HgA N/A	N/A 12.5”HgA N/A
36	<u>Number of Repeats</u> One Total Approx. Rate: N/A	Evacuate To: Time:	2.8”HgA N/A	2.3”HgA N/A	3.3”HgA N/A
39	Humidification Approx. Rate: NA	Time:	N/A”HgA NA	N/A”HgA NA	N/A”HgA NA

1	Steam Conditioning	Humidity To:	2.8”HgA	2.3”HgA	3.3”HgA
2		Evacuate To:	2.2”HgA	1.7”HgA	2.7”HgA
3		Dwell Time:	90 Min	85 Min	120 Min
4	Humidity Dwell	Dwell Time:	10 Min	10 Min	15Min
5		Maintain Pressure At:	2.8”HgA	2.3”HgA	3.3”HgA
6	Gas Inject	Gas By Weight:	NA	NA	NA
7	<u>Inject Type</u>	Drum Change Allowed? Yes			
8		Gas To:	12.9”HgA	12.4”HgA	13.4”HgA
9	Approx. Rate: 1.0”/Min	Time:	N/A	N/A	N/A
10	Parametric Release	Gas Con.:	550 MG/L	350 MG?	750MG/L
11					
12					
13	Gas Dwell	Temperature:	135F	130F	145F
14		Time:	2 Hrs 30 Min	2 Hrs 30 Min	2 Hrs 35Min
15	Maintain Pressure With:	Inert At:	25.0”HgA	24.0”HgA	28.0”HgA
16					
17	After Vacuum	Evacuate To:	3.0”HgA	2.0”HgA	4.0”HgA
18	Approx. Rate: 0.3”/Min	Time:	N/A	N/A	N/A
19		Vacuum Hold Time:	N/A	NA	NA
20					
21	Gas Wash A	Inject To:	50”HgA	49.5”HgA	50.5”HgA
22	Approx. Rate: 2.0 “/Min	Time:	N/A	N/A	N/A
23	<u>Inject Type:</u>	Evacuate To:	3.0”HgA	2.5”HgA	3.5”HgA
24	Inert				
25	Approx. Rate: 0.4””Min	Time:	N/A	N/A	N/A
26		Vacuum Hold Time:	15 Min	15 Min	20 Min
27	Number of Repeats:	Four total			
28					
29	Gas Wash B	Inject To:	12.0”HgA	11.5”HgA	12.5”HgA
30	Approx. Rate: 2.0 “/Min	Time:	N/A	N/A	N/A
31	<u>Inject Type:</u>	Evacuate To:	1.0”HgA	0.5”HgA	1.5”HgA
32	Air				
33	Approx. Rate: 0.4“/Min	Time:	N/A	N/A	N/A
34		Vacuum Hold Time:	5 Min	5 Min	10 Min
35	Number of Repeats:	One Total			
36					
37	Gas Wash C	Inject To:	25.0”HgA	24.5”HgA	25.5”HgA
38	Approx. Rate: 2.0 “/Min	Time:	N/A	N/A	N/A
39	<u>Inject Type:</u>	Evacuate To:	1.5”HgA	1”HgA	2”HgA
40	Air				
41	Approx. Rate: 0.4“/Min	Time:	N/A	N/A	N/A
42		Vacuum Hold Time:	5 Min	5 Min	10 Min
43	Number of Repeats:	One Total			
44					
45					
46					
47					